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**REDACTED FOR PUBLIC INSPECTION**

May 10, 2013

**VIA ELECTRONIC DELIVERY**

Marlene H. Dortch, Secretary  
Federal Communications Commission  
445 12th Street, SW  
Room TWA325  
Washington, DC 20554

**Re: Notice of *Ex Parte* Presentation  
CG Docket No. 02-278**

Dear Ms. Dortch:

On Thursday, March 21, 2013, representatives from Global Connect LLC (“Global Connect”); Dial Connection, LLC (“Dial Connection”); and Varolii Corporation (“Varolii”) met with representatives of the Commission’s Consumer & Governmental Affairs Bureau (“Bureau”) to discuss Communication Innovators’ (“CI’s”) pending Petition for Declaratory Ruling (“Petition”) regarding the non-telemarketing use of predictive dialers under the Telephone Consumer Protection Act (“TCPA”).<sup>1</sup> During the meeting, the representatives agreed to provide additional technical materials regarding how today’s predictive dialer solutions are used to place non-telemarketing calls.

On Wednesday, May 8, 2013, Michele C. Farquhar and Mark W. Brennan from Hogan Lovells US LLP, counsel to CI, had a teleconference with Mark Stone, Kurt Schroeder, John B. Adams, and Kristi Lemoine from the Bureau to follow up on the March 21 meeting.

The CI representatives discussed the attached materials and encouraged the Bureau to grant the CI Petition. Attachment A to this letter includes detailed technical materials from Global Connect, Dial Connection, and Varolii – three of today’s leading predictive dialer solutions providers. The materials describe the user interfaces and substantial programming steps required before parties can place live, non-telemarketing calls using their respective solutions. The materials also include a diagram of how live representatives are connected to consumers via today’s predictive dialer solutions.

As the representatives explained, the materials demonstrate that these leading solutions do not have the “present capacity” or “current ability” to generate, store, or dial random or sequential numbers. Indeed, the representatives noted that the materials confirm that many of today’s

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<sup>1</sup> See *Ex Parte* Letter from Global Connect LLC, *et al.*, CG Docket No. 02-278 (filed Mar. 25, 2013).

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predictive dialer solutions are software- or cloud-based application platforms. Companies using these solutions are required to set up detailed “profiles” for each consumer, with multiple (sometimes dozens) of data fields that must be filled out before a single call can be made. As confirmed by these materials, callers cannot simply load telephone numbers into a predictive dialer and start calling, as the dialer would reject such call attempts.

The representatives also confirmed that callers using predictive dialers have no need for or incentive to call random or sequential numbers. Instead, they use the solutions to place calls to specific individuals and for specific non-telemarketing purposes, such as customer service. As indicated in the materials, predictive dialer solutions also enable companies to apply specific, auditable controls regarding call times, number of attempts, and other factors.

Attachment B to this letter includes signed declarations from Global Connect; Dial Connection; Varolii; and SoundBite Communications, Inc. – another leading predictive dialer solutions provider. These declarations confirm that today’s solutions do not have the “present capacity” or “current ability” to generate, store, and dial random or sequential telephone numbers. Specifically, they do not have random or sequential number generation, storage, and dialing as a functioning feature, and they cannot function unless the caller provides specific telephone numbers and other account or customer information. Clients cannot use these solutions to randomly or sequentially generate, store, or dial telephone numbers without fundamentally changing the hardware or software.

Pursuant to Section 1.1206(b) of the Commission’s rules, I am filing this notice electronically in the above-referenced docket. Please contact me directly with any questions.

Respectfully submitted,

*/s/ Mark W. Brennan*

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cc: Mark Stone  
Kurt Schroeder  
John B. Adams  
Kristi Lemoine

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# Attachment A

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**Entire Attachment Redacted**

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# **Attachment B**

BEFORE THE  
FEDERAL COMMUNICATIONS COMMISSION  
WASHINGTON, D.C. 20554

In the Matter of )  
 )  
Rules and Regulations Implementing the )  
Telephone Consumer Protection Act of 1991 ) CG Docket No. 02-278

DECLARATION OF DAVID W. SARGENT

I, David W. Sargent declare as follows:

1. I am the Executive Vice President of Dial Connection LLC (“Dial Connection”), which is located at 309 Fellowship Road, Suite 200, Mt. Laurel, NJ 08054. I have personal knowledge of the matters contained herein.


2. Dial Connection’s flagship product is the DialIntelligence application. The DialIntelligence application provides customer care management solutions for inbound and outbound contact centers. The product is a comprehensive solution that includes a customizable customer relationship management package, call recording and monitoring, messaging, text-to-speech, and other features.

3. The DialIntelligence application does not have the “present capacity” or “current ability” to generate, store, and dial random or sequential telephone numbers. Specifically, it does not have random or sequential number generation, storage, and dialing as a functioning feature, and Dial Connection does not offer that feature to its customers. Customers cannot randomly or sequentially generate, store, or dial telephone numbers using the DialIntelligence without fundamentally changing its hardware or software.

4. The DialIntelligence application cannot function unless the caller provides specific telephone numbers and other account or customer information.

I declare under the penalty of perjury that the foregoing is true and correct to the best of my knowledge, information, and belief.

Executed on: May 2, 2013

  
David W. Sargent

BEFORE THE  
FEDERAL COMMUNICATIONS COMMISSION  
WASHINGTON, D.C. 20554

In the Matter of

Rules and Regulations Implementing the  
Telephone Consumer Protection Act of 1991

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CG Docket No. 02-278

DECLARATION OF DARRIN R BIRD

I, Darrin R Bird, declare as follows:

1. I am the Chief Operating Officer of Global Connect LLC, which is located at 5218 Atlantic Avenue, Suite 300 Mays Landing, New Jersey. I have personal knowledge of the matters contained herein.

2. Global Connect LLC markets the Global Connect Peak Dialer (GC1 Peak Dialer).

3. The GC1 Peak Dialer does not have the "present capacity" or "current ability" to generate, store, and dial random or sequential telephone numbers. Specifically, it does not have random or sequential number generation, storage, and dialing as a functioning feature, and Global Connect LLC does not offer that feature to its customers. Customers cannot randomly or sequentially generate, store, or dial telephone numbers using the GC1 Peak Dialer without fundamentally changing its hardware or software.

4. The GC1 Peak Dialer cannot function unless the caller provides specific telephone numbers and other account or customer information.

I declare under the penalty of perjury that the foregoing is true and correct to the best of my knowledge, information, and belief.

Executed on: May 2, 2013

A handwritten signature in dark ink, appearing to read 'Darrin R Bird', is written over a horizontal line.

Darrin R Bird

**BEFORE THE  
FEDERAL COMMUNICATIONS COMMISSION  
WASHINGTON, D.C. 20554**

<b>In the Matter of</b>  <b>Rules and Regulations Implementing the</b> <b>Telephone Consumer Protection Act of 1991</b>	) ) ) )	<b>CG Docket No. 02-278</b>
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**DECLARATION OF Brian Moore**

I, Brian Moore, declare as follows:

1. I am the Executive Director of Varolii Corporation, which is located at 821 2<sup>nd</sup> Ave, Suite 1000, Seattle, WA 98104. I have personal knowledge of the matters contained herein.
2. Varolii Corporation markets the Varolii Interact Cloud Dialer, which is a Predictive Dialing System.
3. The Varolii Interact Cloud Dialer does not have the “present capacity” or “current ability” to generate, store, and dial random or sequential telephone numbers. Specifically, it does not have random or sequential number generation, storage, and dialing as a functioning feature, and Varolii Corporation does not offer that feature to its customers. Customers cannot randomly or sequentially generate, store, or dial telephone numbers using the Varolii Interact Cloud Dialer without fundamentally changing its hardware or software.
4. The Varolii Interact Cloud Dialer cannot function unless the caller provides specific telephone numbers and other account or customer information.

I declare under the penalty of perjury that the foregoing is true and correct to the best of my knowledge, information, and belief.

Executed on: May 2, 2013



Brian Moore



# DECLARATION OF SOUNDBITE COMMUNICATIONS

I, John Tallarico, declare as follows:

1. I am the Vice President of Product Management at SoundBite Communications located at 22 Crosby Drive, Bedford, Massachusetts 01730. I have personal knowledge of the matters contained herein.

2. SoundBite Communications markets the SoundBite Engage Platform, a hosted outbound communications platform primarily consisting of voice ports connected to the public network (VoIP), application logic, and a database. The platform is housed in separate data centers in the United States and United Kingdom. The Technology has been in use for over 11 years.

3. To operate the SoundBite Engage Platform, clients provide a list of phone numbers to be dialed. SoundBite requires our customers to represent they will run their campaigns in compliance with and according to best business practices, as well as local and federal laws.

4. The SoundBite Engage Platform (a) does not have the capacity to generate telephone numbers using a random or sequential number generator; (b) it cannot function without a list of telephone numbers provided by its clients; and (c) it does not have the capacity to randomly or sequentially generate telephone numbers using a number generator without fundamentally changing the architecture of the hardware and software.

I declare under the penalty of perjury that the foregoing is true and correct to the best of my knowledge, information, and belief.

Executed on: March 8, 2013

  
John Tallarico

State of Massachusetts, County of Middlesex, On this 8<sup>th</sup> day, 2013, before me did appear John Tallarico to me personally known, to be the person whose name is subscribed to the foregoing instrument an acknowledged to me that the executed the same for purposes and consideration therein expressed.

Given under my hand and seal of office this 8<sup>th</sup> day of 2013, (year).

  
Notary Public's Signature

